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PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **HOE96/F319CON**

First Inventor or Application Identifier **Schwertfeger et al**

Title **See 1 in Addendum**

Express Mail Label No. **EK897166855US**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages **67**]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **2**]
4. Oath or Declaration [Total Pages **18**]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☒ Other: Copy of Petition for 3 Month Extension
of Time filed in Parent
Application referenced in 16 below

Continued on

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: **09/308,888**
- Prior application information: Examiner **Lovering, R.** Group / Art Unit: **1712**

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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or ☒ Correspondence address below

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Registration No. (Attorney/Agent)

29,411

Signature

Peter D. McDermott

3259-81130

Date

26 March 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Docket No. HOE96/F319CON

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(03259.81130)

Applicants: Schwertfeger et al.
U.S. Serial No.: Continuation of USSN 09/308,888
Filed: On Even Date Herewith
Title: Organically Modified Aerogels, Method for Their Production by Surface
Modification of the Aqueous Gel Without Previous Solvent Exchange and
Subsequent Drying and the Use Thereof

Group Art Unit: 1712

Examiner: Lovering, R.

CERTIFICATE OF EXPRESS MAIL

Assistant Commissioner for Patents, Box Patent Application
Washington, D.C. 20231

Dear Sir:

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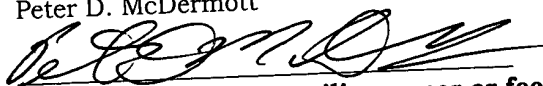
Date of Deposit: *26 March 2001*

I hereby state that the following:

Utility Patent Application Transmittal
Specification, claims, and Abstract (German language; 67 pgs.)
Drawings (2 pgs. attached to German language application papers)
Specification, claims, and Abstract (English language translation; 64 pgs.)
Drawings (2 pgs. Attached to English language translation)
Preliminary Amendment (15pgs.)
Declaration/Oaths (18 sheets)
Fee Transmittal
Copy of Petition for Extension of Time Under 37 CFR § 1.136(a) filed in parent application
USSN 09/308,888

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee"
service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the Assistant
Commissioner for Patents, Washington, D.C. 20231.

Peter D. McDermott



Signature of person mailing paper or fee

Attorney Docket Number: HOE96/F319CON
First Named Inventor or Application Identifier: Schwertfeger et al

J1046 U.S. PTO

09/817459



Utility Patent Application Transmittal (PTO/SB/05):

15. Other Accompanying Application Parts (continued)

Certificate of Express Mailing

English language translation of specification,

claims, and Abstract (64pgs.)

Addendum to Utility Patent Appln. Transmittal
for Continuation of USSN 09/308,888

Attachment to PTO/SB/05 (4/98) Utility Patent Application
Transmittal

11046 U.S. PTO
09/817459
03/26/01

1. Title: Organically Modified Aerogels, Method for Their Production by Surface Modification of the Aqueous Gel Without Previous Solvent Exchange and Subsequent Drying and the Use Thereof

Addendum to Utility Patent Application Transmittal for Continuation of USSN 09/308,888